

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Werner Fritz DUBACH  
Title: TWO-PART PLASTIC SNAP HINGE CLOSURE  
Serial No.: 10/030,862  
Express Mail No.: EV068478955US  
Date of Deposit: 03 June 2002

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

**Box PCT**  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

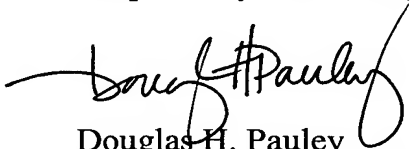
I hereby certify that the following requisites of the subject patent application are being deposited with the United States Postal Service as Express Mail Post Office to Addressee No. EV068478955US, on 03 June 2002, and is addressed to Box PCT, Assistant Commissioner for Patents, Washington, D.C. 20231, together with the items listed below.

- Transmittal Letter (Form PTO-1390), in duplicate
- Executed German Language Declaration (3 pages), attached to a German language copy of the International Application
- First Information Disclosure Statement (2 pages), together with Form PTO-1449, one (1) page, and with a copy of each and every cited reference

Serial No.: 10/030,862

- Verified translation of the International Application into English (35 U.S.C. 371(c)(2))
- First Preliminary Amendment (18 pages)
- Substitute Specification, attached to a red-ink marked-up version of the English language translation
- Recordation Form Cover Sheet, together with executed Assignment Document
- A check in the amount of \$271.00 to cover the fees set forth in the Transmittal Letter
- Copy of the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US)
- Return Receipt Postcard

Respectfully submitted,

  
Douglas H. Pauley  
Registration No. 33,295

Pauley Petersen Kinne & Erickson  
2800 West Higgins Road; Suite 365  
Hoffman Estates, Illinois 60195  
TEL (847) 490-1400  
FAX (847) 490-1403

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

F-323

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)

10/030,862

INTERNATIONAL APPLICATION NO.

PCT/CH00/00351

INTERNATIONAL FILING DATE

29 June 2000

PRIORITY DATE CLAIMED

13 July 1999 and 31 January 2000

TITLE OF INVENTION

TWO-PART PLASTIC SNAP HINGE CLOSURE

APPLICANT(S) FOR DO/EO/US

Werner Fritz DUBACH

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

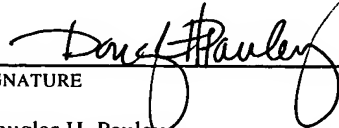
1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND OR SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)). (verified)
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (3 pages, executed, attached to a copy of the International Application)
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. (2 pages)
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment. (18 pages)  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☒ A substitute specification. (attached to a red-ink marked-up version of the English language translation)
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
  - Copy of Notification of Missing Requirements Under 35 U.S.C. 371
  - Certificate of Mailing by Express Mail (2 pages)
  - Return Receipt Postcard
  - Form PTO-1449 (1 Sheet) and a copy of each and every reference cited therein

EXPRESS MAIL NO.: EV068478955US

MAILED: 03 June 2002

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/030,862		INTERNATIONAL APPLICATION NO. PCT/CH00/00351		ATTORNEY'S DOCKET NUMBER F-323	
17. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):</b> Search Report has been prepared by the EPO or JPO ..... \$ 890.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) ..... \$ 710.00  No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$ 740.00  Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$ 1,040.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$ 100.00				<b>CALCULATIONS</b>	
				PTO USE ONLY	
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	24* - 20 =	4*	X \$18.00	\$ 72.00	
Independent claims	1* - 03 =	0	X \$84.00		
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$280.00		
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 202.00	
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28). (filed 11 April 2002)				\$ 101.00	
<b>SUBTOTAL =</b>				\$ 101.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ 130.00	
<b>TOTAL NATIONAL FEE =</b>				\$ 231.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 40.00	
<b>TOTAL FEES ENCLOSED =</b>				\$ 271.00	
* Based upon entry of the First Preliminary Amendment.				Amount to be: refunded	\$
				charged	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>271.00</u> to cover the above fee is enclosed.  b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.  c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>19-3550</u> . A duplicate copy of this sheet is enclosed.					
<b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
06/06/2002 MKAYPAGH 00000106 10030862 01 FC:254 65.00 OP 02 FC:967 36.00 OP 03 FC:156 130.00 OP SEND ALL CORRESPONDENCE TO: Pauley Petersen Kinne & Erickson 2800 West Higgins Road, Suite 365 Hoffman Estates, Illinois 60195 (847) 490-1400 Fax: (847) 490-1403					
				 SIGNATURE  Douglas H. Pauley  NAME  33,295  REGISTRATION NUMBER	